

(12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(19) World Intellectual Property Organization
International Bureau



(43) International Publication Date
3 January 2003 (03.01.2003)

PCT

(10) International Publication Number
WO 03/000154 A2

(51) International Patent Classification⁷: **A61F**

(21) International Application Number: **PCT/US02/19534**

(22) International Filing Date: 21 June 2002 (21.06.2002)

(25) Filing Language: English

(26) Publication Language: English

(30) Priority Data:
60/299,757 22 June 2001 (22.06.2001) US

(71) Applicants and

(72) Inventors: **MILLER, David** [US/US]; 9 Francis Street, Brookline, MA 02446 (US). **BLANCO, Ernesto** [US/US]; 36 Sandrick Road, Belmont, MA 02178 (US). **MAGNANTE, Peter** [US/US]; 218 Wigwam Road, West Brookfield, MA 01585 (US).

(74) Agent: **CONTE, Robert, F., I.**; Lee, Mann, Smith, McWilliams, Sweeney & Ohlson, P.O. Box 2786, Chicago, IL 60638 (US).

(81) Designated States (*national*): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, OM, PH, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VN, YU, ZA, ZM, ZW.

(84) Designated States (*regional*): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

Declaration under Rule 4.17:

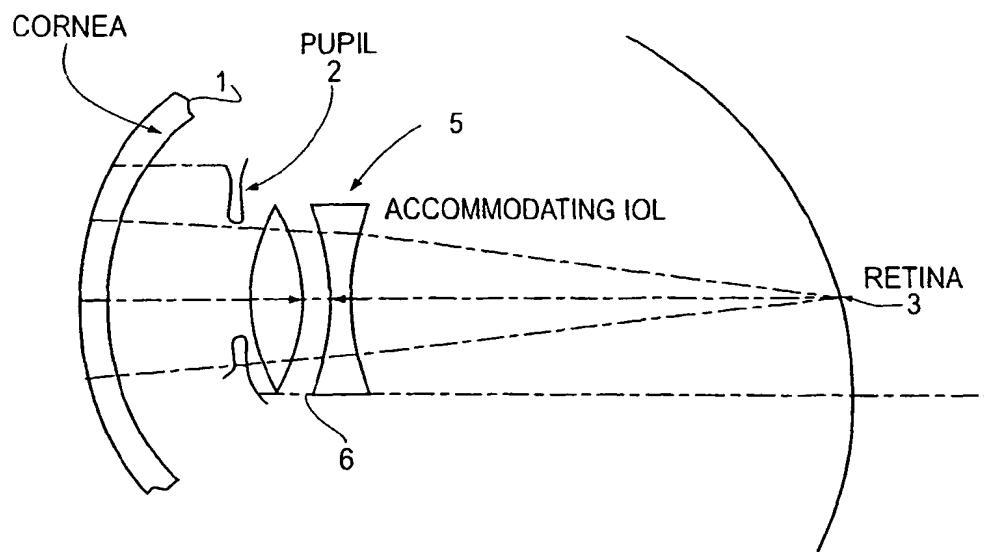
— of inventorship (Rule 4.17(iv)) for US only

Published:

— without international search report and to be republished upon receipt of that report

[Continued on next page]

(54) Title: AN IMPROVED ACCOMMODATING INTRACULAR LENS



WO 03/000154 A2

(57) Abstract: An intra ocular lens arrangement having positive and negative lens elements which move during the eye's accommodation response in order to improve the image on the retina of objects viewed by the eye over a wide range of distances. The positive and negative lens elements either can be linked mechanically to constrain their relative movements or not linked. The lenses are positioned by an operating surgeon following cataract extraction in either the eye's ciliary sulcus or lens capsule. Alternatively, one of the lenses may be inserted into an eye that already has a lens implanted therein to further improve a person's vision.

WO 03/000154 A2



For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

AN IMPROVED ACCOMMODATING INTRAOCULAR LENS

FIELD OF THE INVENTION

This invention relates to intra ocular lenses and more particularly to intra ocular lenses that have a positive and negative lens that may be assembled within the eye as part of implantation or outside of the eye.

5 BACKGROUND

The lens within the human eye has the capability of changing shape and thereby focus so that objects both far and near can be registered sharply on the retina. This ability to change focus is known as accommodation. With age, the lens gradually loses its range of accommodation. The human lens not only loses accommodative range with 10 aging, but also transparency. When the lens loses a significant amount of transparency (thus producing a blurry image on the retina), it is said that the lens is cataractous or has become a cataract.

Treatment for a cataract requires the surgical removal of the cataract and the placing of a man made synthetic lens (intra ocular lens or IOL) in the eye. The earlier 15 IOL's had a fixed focus and thus had no accommodative function.

However, in time a number of IOL's were designed in multifocal form. Different zones of a multifocal IOL have different dioptric powers. With such multifocal IOL's, light from objects, only within a specific range of viewing distances, passing through a particular zone will form sharply focused images on the retina. On 20 the other hand, if an object is outside this range, its image formed by the zone under consideration will be blurry. Multifocal IOL's typically have two or more zones, each designed for a specific viewing distance. A consequence of this design approach is that

the imagery of multifocal IOL's is never very sharp. The success of multifocal IOL's depends on the visual processing system of the patient's eye and brain that tends to pay attention to the light most sharply focused on the retina, and tends to ignore the light formed diffusely on the retina.

5 These were followed by IOL's that could move back and forth via ciliary muscle contraction and thus focus objects from different distances onto the retina. However, these IOL's have limited range of movement and thus a limited accommodative range.

10 Another form of IOL is made of an elastomer filled flexible balloon which is placed within the emptied lens capsule and alters lens shape under the influence of the ciliary muscle contraction.

Another accommodative IOL design is comprised of two positive lens elements (i.e. two plano-convex lenses) connected by two flexible hinges. The lens components are spread or come together in response to ciliary muscle contraction.

15 In our invention, we have an intra ocular lens that is a combination of a positive lens (i.e. lens is thicker at center than at edge), and a negative lens (i.e. lens is thinner at center than at edge). The positive-negative doublet combination of our invention yields a much larger focusing range with small changes in separation between the component lenses, when compared to either a positive singlet configuration or a positive-positive doublet configuration. Also, the newly designed IOL can alter dioptric power if placed 20 in either of two intra ocular locations after cataract removal: a) within the capsular bag, or b) placed within the ciliary sulcus. In both locations, the contraction of the ciliary muscle alters the separation between the positive and negative lenses.

SUMMARY OF INVENTION

The present invention provides

25 1. Intra ocular lenses having the combination of a negative lens and a positive

lens and forming a dual intra ocular lens in the eye by separately implanting the positive lens and the negative lens in the eye in such a manner that the lenses will move relative to one another along the optical axis in response to the movement of the ciliary muscle of the eye during accommodation response of the eye.

5

2. Intra ocular lenses having the combination of a negative lens and a positive lens which are joined together outside of the eye in such a manner that when the combination is implanted in the eye, the lenses will move relative to one another along the optical axis in response to the movement of the ciliary muscle of the eye during accommodation response of the eye.

10

3. Intra ocular lenses having the combination of a negative lens and a positive lens and forming a dual intra ocular lens in the eye by implanting a positive lens or a negative lens into an eye already having implanted therein one of the lenses.

15

4. Intra ocular lenses as noted in above 1, 2 or 3 wherein the lenses are implanted in or outside of the lens capsule or capsular bag.

One embodiment of the present invention is to provide dual intra ocular lenses having the combination of a negative lens and a positive lens substantially coaxially aligned and separated along their optical axis and forming the dual intra ocular lens in the eye by separately implanting the positive lens and the negative lens in the eye.

20

A second embodiment of the present invention is to provide an eye intra ocular lens that has a negative lens and a positive lens that are axially separated and said intra ocular lens is formed inside the eye as part of an implantation of the negative and positive lenses in an eye or outside of the eye by connecting the negative and positive lenses prior to implantation into the eye.

25

A still further embodiment of the present invention is to provide a method of improving vision for an eye which has been diagnosed as being approved for intra ocular lens implants comprising implanting a negative lens with, before or after implanting a positive lens, and implanting said negative lens such that the negative and

positive lenses will move relative to each other when the ciliary muscle of the eye constricts.

For the purpose of promoting an understanding of the principles of the invention, references will be made to the embodiment illustrated in the drawings. Specific language will also be used to describe the same. It will, nevertheless, be understood that no limitation of the scope of the invention is thereby intended, such alterations and further modifications in the illustrated device, and such further applications of the principles of the invention illustrated herein being contemplated as would normally occur to one skilled in the art to which the invention relates.

10 DESCRIPTION OF DRAWINGS

Figure 1 illustrates the two-lens system design (front element a positive lens, rear element a negative lens). The lenses are significantly separated so as to focus the image of a relatively nearby object onto the retina.

15 Figure 1A illustrates the two-lens system design (front element a negative lens, rear element a positive lens). The lenses are significantly separated so as to focus the image of a relatively nearby object onto the retina.

In Figure 2, the lens elements are shown closer together as a result of the relaxation of the ciliary muscle, allowing for the sharp focus of images of relatively distant objects onto the retina.

20 Figure 3 shows one possible configuration when the lens elements are mechanically linked by a hinged haptic which causes the two lenses to separate.

In Figure 4, the focal length of the system can be changed by changing the separation of the lens elements.

25 In Figure 5, the method in which the ciliary muscle couples to the hinged haptic is shown when both lens components of the IOL are placed in the ciliary sulcus.

In Figure 6, both lens components of the IOL are placed within the capsular bag where both the constriction of the ciliary muscle and the elasticity of the lens capsule provide the forces which determine the separation of the two lenses.

Figure 7 shows an optical ray trace of a positive singlet lens located to focus sharply on the retina an image of an object located an infinite distance away.

Figure 8 shows an optical ray trace of the same singlet lens of Figure 7 shifted 1.92 mm to the left for 3 diopters of accommodation.

Figure 9 shows an optical ray trace of a positive-negative doublet lens in contact which forms a sharply focused image on the retina of an object at infinity.

Figure 10 shows an optical ray trace of the same doublet lens of Figure 9 separated by 0.87 mm for 3 diopters of accommodation.

Figure 11 shows an optical ray trace of a pair of equal positive lenses in contact which forms a sharply focused image on the retina of an object at infinity.

Figure 12 shows an optical ray trace of the same positive-positive doublet of Figure 11 separated by 1.75 mm for 1.25 diopters of accommodation.

DETAILED DESCRIPTION OF INVENTION

Our invention relates to an IOL configuration having a positive lens and a negative lens with a variable focal length (or dioptric power) that depends on the distance along the optical axis separating the two lenses while maintaining a constant angular magnification for objects viewed over a wide range of distances (e.g. from infinity to typical reading distances). The positional order of the lenses in the eye can be either with the positive lens more anterior or the reverse, or with the negative lens more anterior or the reverse. Each negative and positive lens may be placed either in the capsular bag or the ciliary sulcus. The negative and positive lenses either may or may not be mechanically linked to one another by tabs and strut-like linkages (haptics) attached to the edges of the two lenses. During cataract surgery and IOL implantation,

the positive and negative lenses may be inserted intra ocularly either one at a time (if the components are not mechanically linked to one another), or both at the same time (if the components are mechanically linked to one another). The linkages serve to hold the positive and negative lenses in place, as well as serve to adjust and control the distance separating the two lenses when powered by ciliary muscle contraction. It is the separation between the lenses that accounts for the change in IOL power (i.e. accommodation).

The lenses are located with their axes parallel (or nearly parallel) to one another and to the optical axis of the eye (coaxial configuration). This coaxial configuration is maintained during the change in separation of the lens elements which causes the eye's accommodative response. The positive-negative lens configuration provides a greater change of dioptic power with change in separation distance than any other configuration such as a positive-positive or a singlet positive configuration.

One general configuration of our dual intra ocular lens within the eye is shown in Figure 1 when the eye is focused on a nearby object. The eye is represented schematically by the cornea 1, the pupil 2, and the retina 3. The dual IOL's optical components, are a positive lens 4, and a negative lens 5, that are situated just behind pupil 2, with the negative lens 5 more anterior. In this position, the ciliary muscle is somewhat contracted separating the negative lens 5 away from positive lens 4 to provide a space 6.

Figure 1A illustrates another general configuration of the dual IOL within the eye. In this configuration, the positive lens 4 is more anterior. The ciliary muscle is somewhat contracted and moves the positive lens 4 away from the negative lens 5 to provide a space 6.

The positive and negative lenses 4,5 generally will have spherical surfaces; however, since astigmatic and other aspherical-shaped singlet IOL's (both symmetric and asymmetric with respect to their optical axes) now are manufactured for implantation in the eye, the positive and negative lenses 4,5 may also have these more general surface

shapes. Fresnel-type IOL lenses also are used in cataract surgery. These lenses generally have a succession of stepped-annular zones or facets which serve to minimize a Fresnel lens's thickness while maximizing its power. Fresnel-type positive and negative lenses are suitable lens components for use in our invention. Also, diffractive lens configurations 5 are sometimes used (i.e., diffractive lenses or lenses with one surface diffractive and the other surface refractive).

Generally, a person is not reading and is looking at objects more than two feet away. In that condition, the ciliary muscle is relaxed and the general configuration of our dual IOL within the eye is shown in Figure 2 - the eye is focused on a distant object. The 10 positive lens 10 and negative lens 11 are brought together with a slight space there between. The spacing 12 is much less than the spacing 6 in Figure 1. However, the spacing 12 is necessary to prevent the two lenses from adhering to each other. The reason why the IOL spacing 6 is larger when the eye's focus changes from viewing a distant object (Figure 2) to viewing a nearby object (Figure 1) may be understood by 15 examining the well-known formula (Equa. 1) for the combined focal length of a pair of thin lenses, f , expressed in terms of the focal lengths of the two lens components, f_1 and f_2 , and the spacing between them, d .

$$\frac{1}{f} = \frac{1}{f_1} + \frac{1}{f_2} - \frac{d}{(f_1 * f_2)} \quad (1)$$

Let f_1 and f_2 represent the respective focal lengths of the positive and negative lens 20 components. Since $f_1 > 0$ and $f_2 < 0$, Equa. 1 shows that f decreases as d increases. As the eye accommodates as shown in Figure 1, its focal length needs to decrease (i.e. greater optical power) which corresponds to a larger spacing 6 than the spacing 12 needed for the unaccommodated eye shown in Figure 2.

The easiest way to understand why a positive-negative doublet provides a greater 25 change of dioptric power with change of separation distance than a positive-positive doublet is by examining the formula for the combined power of a pair of thin lenses, D ,

expressed in terms of the powers of the two lens components, D_1 and D_2 , the spacing between them, d , and the refractive index of the medium, n , in which the lenses are situated. Multiply both sides of Equa. 1 by the refractive index, n , and then recognize that dioptric power is $n/(focal\ length)$ in order to find Equa. 2.

5

$$D = D_1 + D_2 - D_1 \cdot D_2 \cdot d/n \quad (2)$$

The change of dioptric power with change of separation distance, expressed as $\delta D/\delta d$, is obtained by differentiating Equa. 2.

$$\delta D/\delta d = - (D_1 \cdot D_2)/n \quad (3)$$

When fitting a particular patient with an IOL, the doctor determines the correct IOL power for distance vision which, in terms of the above parameters, requires $D_1 + D_2$ to have a particular value. By way of example, we will set $D_1 + D_2 = 24$ diopters which is a typical value. Table 1 below shows $\delta D/\delta d$ calculated from Equa. (3) for different values of D_1 and D_2 (constrained so that their sum equals 24 diopters) when the refractive index of the media $n = 1.33$. Note in Table 1 that the largest values of $\delta D/\delta d$ (i.e. the change of dioptric power with change of separation distance) occur when D_1 is most positive and D_2 is most negative.

Table 1

D_1 (diopter)	6	12	18	24	30	36	42
D_2 (diopter)	18	12	6	0	-6	-12	-18
$\delta D / \delta d$ (diopter/m)	-81.2	-108.3	-81.2	0	+135.3	+324.8	+568.4

As noted above, the preferred manner of correcting a patient's vision in one eye is to open the eye's lens capsule or capsule bag 31(Fig. 6), remove the eye lens and first insert the desired positive or negative lens in the lens capsule or capsule bag . Then the other lens is inserted into the lens capsule or capsule bag . The positive lens and negative lenses are connected to each other such that when the ciliary muscle contracts, the two lenses axially separate from each other and when the ciliary muscle relaxes, the two lenses axially move towards each other.

Generally, only one of the lenses moves and the other lens moves less or not at all and both lenses remain substantially coaxial with each other. One manner of connecting the two lenses to each other would be to connect them both independently to the ciliary muscle and the ciliary muscle zonules. Another method would be to attach the linkages of the positive lens to the linkages of the negative lens. The attachment could be any suitable attachment that would allow the positive and negative lenses to move away from each other when the ciliary muscle contracts and towards each other when the ciliary muscle relaxes.

The linkages A, B, C, and D(Fig. 3) are sized to provide adequate leverage to cause the positive lens 13 and the negative lens 14 to separate when the ciliary muscle contracts. The linkages are generally made of the same material as their respective lens and are preferably integral with their respective lenses. They, of course, may be made of separate materials and appropriately affixed to their respective lenses. The linkages are sufficiently rigid such that a force directed towards the center of the eye by a contracting ciliary muscle causes the lenses 4,5 and 13,14 to separate from each other as shown in Figs. 1, 1A, and 3.

Figure 3 shows one possible configuration of a way in which a positive lens 13 may be coupled mechanically to a negative lens 14, where both lenses comprise an assembled accommodating dual IOL 15. The coupling may be accomplished by linkages A, B, C, D, made from the same polymer material from which their

respective lenses are made. The linkages also can be made from other materials as noted above. In Figure 3, two hinges are shown, a superior hinge 16 and an inferior hinge 17; however, more than two hinges may be used to achieve the intended movement of the positive and negative lenses. As shown in Figure 3, each hinge consists of a pair of semi-rigid straight (or reasonably straight) linking arms and three flexure joints (one at the apex of the pair of linking arms A, B, C, D, and one each where a linking arm is attached to a lens). The configuration shown in Figure 3 will cause the lenses to separate when a compressive force is applied between the two hinges.

In Fig. 3 the linking arms are appropriately joined at their apexes . However, although the joining of the linkages is preferred, the positive lens linkages A, B, and the negative lens linkages C, D may be separate and not attached. However, they will extend at an angle to the optical axis so that at least one of the lenses can move along the optical axis .

Although the hinge configuration in Figure 3 shows that the linking arms have approximately the same length and that each link is angled so that a pair forms a "V" (or "inverted-V" shape) at its apex, linking arms having different lengths and different angles from those shown in Figure 3 also may be used to achieve the purposes of the invention.

Another hinge configuration that may be used to move the two lenses during accommodation can have a more general "lambda" shape (i.e. the Greek letter λ) or, perhaps, a mirror-image λ shape. This kind of hinge has four (not three) flexure joints and, with a generalized λ -hinge configuration, the legs may have different lengths and angles. Within the practice of mechanical engineering and design, it is obvious to those skill in those fields that there are many other hinge configurations that will result in constraining the movements of the two lenses appropriately in order to achieve the benefits of our invention.

Although Figure 3 shows the positive and negative lens components of the IOL

coupled by mechanical linking arms, two independent (i.e. not linked) lenses conceivably can be implanted in sequence by skilled surgeons at precise locations in either the capsular bag or the ciliary sulcus to achieve good focusing during accommodation.

5 Figure 4 illustrates the change of the focal point when the positive lens 18 and the negative lens 19, initially in close proximity, are moved apart to a prescribed separation 20. Initially the negative lens 19 is to the left of its location shown in Figure 4 and similar to the position shown in Fig. 2 wherein the negative lens is almost in contact with positive lens 18. In this initial configuration, the focal point is
10 at F_1 and the focal length with respect to the principal plane at H_1 is f_1 . When the lenses have separation 20 as shown in Figure 4, the focal point is at F_1' and the focal length with respect to the principal plane at H_1 is f_1' . Note that with increased separation of the positive-negative doublet, the focal length decreases (i.e. dioptric power increases) in accord with Equation 1 and the discussion thereof.

15 Although the preferred two lenses are inserted into the eye separately, the two lenses could be joined prior to insertion to form a dual IOL and the dual IOL is inserted. This is not preferred because this requires a larger incision to be made after the cataract is removed.

20 Figure 5 (left) shows an accommodating dual IOL 21, which is a mechanically linked positive-negative lens pair, implanted in the ciliary sulcus 22 behind the eye's cornea 23 and in front of the lens capsule 24 with the ciliary muscle 25 relaxed (eye focused at distant object). The dual IOL 21 is mechanically linked after or before being implanted. In this instance lens separation 26 is relatively small. The zonules 27 support the lens capsule 21 from which the cataract has been removed.

25 Figure 5 (right) shows the same accommodating dual IOL 21 and how the lens separation 28 increases during accommodation when the ciliary muscle tightens causing the sulcus 22 to constrict. Also shown is how the lens capsule 24 and the supporting zonules 27 tend to move to the right during ciliary muscle contraction.

5

Figure 6 (left) shows an accommodating dual IOL 30, which is a mechanically linked positive-negative lens pair, implanted in the lens capsule 31 behind the eye's cornea 32 with the ciliary muscle 33 relaxed (eye focused at distant object). As with IOL 21, IOL 30 is mechanically linked after or before implantation. In this instance, lens separation 34 is relatively small, since the zonules 35 which are taught exert an outward tension at the edges of the lens capsule 31 where the dual IOL's flexible hinged apex is attached.

10

Figure 6 (right) shows the same accommodating IOL 30 implanted in the lens capsule 31 behind the eye's cornea 32, and how the lens separation 36 increases during accommodation when the ciliary muscle 33 tightens causing lax zonules 35 which exert reduced tension at the edges of lens capsule 31 where the IOL's flexible hinged apex is attached.

20

Ray Traces for Accommodating IOL Models:

15

The following Figures 7-12 are ray traces from a computerized lens design program (ZEMAX) which illustrate the movement required from different types of accommodating IOL models for a prescribed amount of accommodation. All of the Figures use an eye having a cornea with a 8.00 mm radius of curvature. The iris has a 3.50 mm diameter and is located 3.60 mm from the cornea. The cornea to retina distance is 23.90 mm and except for the IOL, the media of the eye is water ($n = 1.333$).

25

Figure 7 shows a positive single lens 40, (+24.1 diopter) located to focus sharply on the retina an image of an object located in air an infinite distance away from the cornea. The lens is made of PMMA ($n = 1.492$) and the lens posterior is 16.7 mm from the retina. The lens has a 1.0 mm center thickness.

25

Figure 8 uses the same single lens 40, of Figure 7 except shifts the lens 1.92 mm to the left (the posterior of the lens is 18.62 mm from the retina) and the object in

air is 1/3m from the cornea for 3 diopters of accommodation (i.e. 0.64 mm/diopter).

Figure 9 illustrates the calculation for a sharply focused image on the retina of an object at infinity for a positive-negative doublet with the posterior surface of the positive lens 42, being 16.7 mm from the retina and the object in air is an infinite distance from the cornea . The positive lens 42, has a +44 diopter power and a 1.5 mm center thickness , and the negative lens 43, has a -22 diopter power and a 0.2mm center thickness). The spacing between the lenses is 0.0 mm indicating that the two lenses are in contact which results in a sharply focused image on the retina of an object at infinity.

Figure 10 illustrates the calculation for the same doublet lens of Figure 9 with the posterior surface of the positive lens 42, being 16.7 mm from the retina and the object in air being 1/3m from the cornea. The lenses are separated by 0.87 mm for 3 diopters of accommodation (i.e. 0.29 mm/diopter).

Figure 11 illustrates the calculation for a sharply focused image on the retina of an object at infinity for a positive-positive doublet IOL with the posterior surface of the doublet being 16.7 mm from the retina and the object in air at an infinite distance from the cornea . Each of the equal positive lenses 44, 45, has +12 diopter power and a 0.6 mm center thickness. The spacing between the lenses is 0.0 mm indicating that the two lenses are in contact which results in a sharply focused image on the retina of an object at infinity.

Figure 12 shows the same positive-positive doublet of Figure 11 except the spacing between lenses is 1.75 mm for 1.25 diopters of accommodation (i.e. 1.40 mm/diopter).

By comparing the collective results for Figure 9 and Figure 10 (positive-negative doublet) with the collective results for Figure 7 and Figure 8 (positive single lens) and with the collective results for Figure 11 and Figure 12 (positive-positive doublet), note that the positive-negative doublet configuration provides a

significantly greater change of diopter power with change in separation than does either of the other configurations.

Mathematical model results for Separation of Accommodating IOL Doublet Lens:

5 By applying the well-known lens formula (i.e. the equation that relates object and image distances to the focal length of a "thin" lens, namely $1/u + 1/v = 1/f$) successively to the eye's corneal surface, then to its anterior positive IOL component lens, and finally to its posterior negative IOL component lens, one can derive by algebraic manipulations the mathematical equation which gives the
10 separation of the IOL component lenses in terms of the physical dimensions and optical characteristics of the eye's components as well as its accommodative state. The results of that derivation are presented here. Furthermore, the equation is applied to a specific model eye for several different powers for the positive and negative IOL components (i.e. D_1 and D_2).

15 The specific model eye is described as follows:

- 1) length from corneal apex to retina is .0239 meter,
- 2) positive IOL lens has power D_1 diopters and is more anterior ... i.e. closer to the cornea,
- 3) L_1 is the fixed distance from the cornea to the negative IOL lens ($L_1 = .0072$ meter),
- 4) negative IOL lens has power D_2 diopters and is a fixed distance L_2 from the retina ($L_2 = .0167$ meter),
- 5) corneal power D_0 is 41.625 diopter, and
- 6) refractive index, n , inside the eye is 1.333.

25 The accommodation power of the eye is the variable D' and typically ranges from 0 to 3 diopters.

Next in Equation 4, we define the following parameters that have no special significance except to make the final equation, which is Equation 5, relatively compact. The spacing between the positive and negative component lenses, d, may now be written in terms of the known input and other defined parameters as Equation 5.

$$\text{Define } \dots \quad D^* = D_0 - D^- \quad \text{and} \quad A = (D_2/n - 1/L_2)^{-1} - L_1$$

(4)

$$d = L_1 + \frac{1}{2} (A - n/D^*) [1 - \{1 + [4n(n/(D_1 D^*) + A(1/D^* + 1/D_1))] / (A - n/D^*)^2\}]^{1/2}$$

(5)

10 Equation 4 and Equa. 5 were used to find the change in separation distance of the IOL component lenses per change in the eye's accommodative power, $\delta d/\delta D^-$, for several sets of D_1 and D_2 values. These results are expressed in Table 2.

Table 2

15	D_1 (diopter)	43.8	30.0	30.0	25.0	25.0
20	D_2 (diopter)	-22.2	-10.0	-5.0	-10.0	-5.0
	$\delta d/\delta D^-$ (mm/diopter)	0.318	0.488	0.519	0.560	0.595

5

10

15

Note that the result given in the first row of Table 2 (i.e. 0.318 mm/diopter) is in fairly good agreement with the ray trace result given for a similar model eye (i.e. 0.29 mm/diopter) where $D_1 = + 44$ diopter and $D_2 = - 22$ diopter (see Figure 9 and Figure 10). The small difference is due to the fact that the mathematical model used in this section treats the lenses as "thin" whereas the ray trace results modeled finite thickness lenses. Furthermore, the results in Table 2 show that a positive-negative lens configuration tends to produce a larger accommodation change with lens displacement as the negative lens is made stronger.

Various features of the invention have been particularly shown and described in connection with the illustrated embodiment of the invention, however, it must be understood that these particular arrangements merely illustrate, and that the invention is to be given its fullest interpretation within the terms of the appended claims.

CLAIMS

1. An eye intra ocular lens system comprising at least a positive lens and a negative lens that cooperate with each other to provide a corrected vision.
2. The eye intra ocular lens system of claim 1 wherein the positive lens is connected to the negative lens to provide relative movement along the optical axis between the positive and negative lenses.
5
3. The eye intra ocular lens system of claim 1 or 2 wherein the positive and negative lenses are connected to each other after implantation.
4. The eye intra ocular lens system of claim 1 or 2 wherein the positive and negative lenses are connected to each other before implantation.
10
5. The eye intra ocular lens system of any one of claims 1-3 wherein the negative lens is to be implanted in an eye already having a positive lens implanted therein.
6. The eye intra ocular lens system of any one of claims 1-3 wherein the negative lens is to be implanted with, before, or after the implantation of a positive lens, and negative lens means to move said negative lens relative to said positive lens in response to movement of the ciliary muscle of the eye during accommodation response of the eye.
15
7. The eye intra ocular lens system of claim 6 wherein the negative lens is to be implanted after the implantation of the positive lens, said negative and
20

positive lens forming a intra ocular lens, said positive lens has positive lens means to move said positive lens relative to said negative lens in response to movement of the ciliary muscle of the eye during accommodation response of the eye and said movements during the accommodation response are along the optical axis of the eye and are controlled in order to improve the image on the retina of objects viewed by the eye over a wide range of distances.

5

8. The eye intra ocular lens system of claim 6 or 7 wherein said intra ocular lens as implanted has a focal length that decreases as viewed objects move closer to the eye, and increases as viewed objects move farther from the eye.

10

9. The eye intra ocular lens system of any one of claims 1-8 wherein the positive lens is to be located either in the eye's ciliary sulcus or lens capsule and the negative lens is located either in the eye's ciliary sulcus or lens capsule.

15

10. The eye intra ocular lens system of any one of claims 1-9 wherein the positive and negative lenses can have any of the following types of surface shapes: spherical, astigmatic toric, aspherical with or without axial symmetry, multi-zoned surfaces as those found on Fresnel lenses, diffractive surfaces, and one surface diffractive and the other surface diffractive.

20

11. The eye intra ocular lens system of any one of claims 1-10 wherein said negative and positive lens means are semi-rigid or rigid tabs and/or strut-like linking arms connected by flexure joints at one or more locations along the edges of the positive and negative lenses in order to secure the lenses independently within the eye's ciliary sulcus or lens capsule.

5

12. The eye intra ocular lens system of any one of claims 6-11 wherein said negative and positive lens means are a hinge mechanism which controls the movement of either or both lenses in response to the movement of the ciliary muscle of the eye acting on the hinge mechanism during the accommodation response, and said positive and negative lens are linked mechanically.

10

13. The eye intra ocular lens system of claim 6 wherein the negative lens is to be implanted with or immediately before the implantation of the positive lens, said negative and positive lens forming a intra ocular lens, said positive lens has positive lens means to move said positive lens relative to said negative lens in response to movement of the ciliary muscle of the eye during accommodation response of the eye and said movements during the accommodation response are along the optical axis of the eye and are controlled in order to improve the image on the retina of objects viewed by the eye over a wide range of distances.

15

14. An eye intra ocular lens that has a negative lens and a positive lens that are axially separated and said intra ocular lens is formed inside the eye as part of an implantation of the negative and positive lenses in an eye or outside of the eye by connecting the negative and positive lenses prior to implantation into the eye.

20

15. The intra ocular lens of claim 14 wherein it comprises a negative lens that is to be implanted with, before, or after the implantation of the positive lens, and negative lens means to move said negative lens relative to said positive lens in response to movement of the ciliary muscle of the eye during accommodation response of the eye.

16. The intra ocular lens of claim 14 or 15 wherein the intra ocular lens is formed inside the eye, said negative lens is to be implanted with or immediately before the implantation of the positive lens, said positive lens has positive lens means to move said positive lens relative to said negative lens in response to movement of the ciliary muscle of the eye during accommodation response of the eye and said movements during the accommodation response are along the optical axis of the eye and are controlled in order to improve the image on the retina of objects viewed by the eye over a wide range of distances.

17. The intra ocular lens of any one of claims 14-15 wherein the intra ocular lens is formed inside the eye, said negative lens is to be implanted after the implantation of the positive lens, said positive lens has positive lens means to move said positive lens relative to said negative lens in response to movement of the ciliary muscle of the eye during accommodation response of the eye and said movements during the accommodation response are along the optical axis of the eye and are controlled in order to improve the image on the retina of objects viewed by the eye over a wide range of distances.

18. The intra ocular lens of any of claims 14-17 wherein said intra ocular lens has a focal length that decreases as viewed objects move closer to the eye, and increases as viewed objects move farther from the eye.

20 19. The intra ocular lens of any of claims 14-18 wherein the positive lens is located either in the eye's ciliary sulcus or lens capsule and the negative lens is located either in the eye's ciliary sulcus or lens capsule.

20. The intra ocular lens of any of claims 14-19 wherein the positive and negative lenses can have any of the following types of surface shapes: spherical, astigmatic toric, aspherical with or without axial symmetry, multi-zoned surfaces as those found on Fresnel lenses, diffractive surfaces, and one surface diffractive and the other surface diffractive.

21. The intra ocular lens of any of claims 14-20 wherein said negative and positive lens means are semi-rigid or rigid tabs and/or strut-like linking arms connected by flexure joints at one or more locations along the edges of the positive and negative lenses in order to secure the lenses independently within the eye's ciliary sulcus or lens capsule.

22. The intra ocular lens of any of claims 15-21 wherein said negative and positive lens means are a hinge mechanism which controls the movement of either or both lenses in response to the movement of the ciliary muscle of the eye acting on the hinge mechanism during the accommodation response, and said positive and negative lens are linked mechanically.

23. A method of improving vision for an eye which has been diagnosed as being approved for intra ocular lens implants comprising implanting a negative lens with, before or after implanting a positive lens, and implanting said negative lens such that the negative and positive lenses will move relative to each other when the ciliary muscle of the eye constricts.

24. The method of claim 23 wherein negative lens means are connected to the negative lens to move said negative lens relative to said positive lens in response

to movement of the ciliary muscle of the eye during accommodation response of the eye.

25. The method as claimed in claim 23 or 24 wherein said negative lens is implanted with or immediately before the implantation of the positive lens to form with said negative and positive lens a intra ocular lens, providing said positive lens with positive lens means to move said positive lens relative to said negative lens in response to movement of the ciliary muscle of the eye during accommodation response of the eye and said movements during the accommodation response are along the optical axis of the eye and are controlled in order to improve the image on the retina of objects viewed by the eye over a wide range of distances.

26. The method of any one of claims 23-25 wherein the focal length of the intra ocular lens decreases as viewed objects move closer to the eye, and increases as viewed objects move farther from the eye.

27. The method of any one of claims 23-26 comprising implanting the positive lens in either the eye's ciliary sulcus or lens capsule and implanting the negative lens in either the eye's ciliary sulcus or lens capsule.

28. The method as claimed in any one of claims 23 -27 wherein the positive and negative lenses can have any of the following types of surface shapes: spherical, astigmatic toric, aspherical with or without axial symmetry, and multi-zoned surfaces as those found on Fresnel lenses, diffractive surfaces, and one surface diffractive and the other surface diffractive.

29. The method as claimed in any one of claims 23-28 comprising providing said negative and positive lens with semi-rigid or rigid tabs and/or strut-like linking arms connected by flexure joints at one or more locations along the edges of the positive and negative lenses and securing the lenses independently within the eye's ciliary sulcus or lens capsule.

5

30. The method as claimed in any one of claims 23-29 comprising mechanically linking said positive and negative lens by a hinge mechanism, and controlling the movement of either or both lenses in response to the movement of the ciliary muscle of the eye acting on the hinge mechanism during the accommodation response.

10

31. The method as claimed in any one of claims 23-30 comprising locating either the negative lens and / or the positive lens in either the eye's ciliary sulcus or lens capsule.

15

32. The method as claimed in any one of claims 23-31 wherein the positive and negative lenses have any of the following types of surface shapes: spherical, astigmatic toric, aspherical with or without axial symmetry, multi-zoned surfaces as those found on Fresnel lenses.

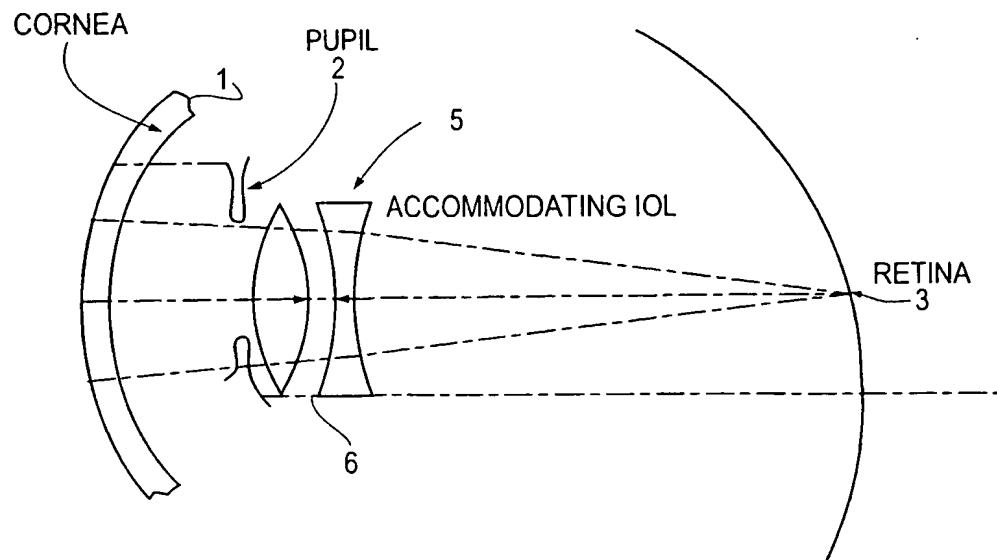
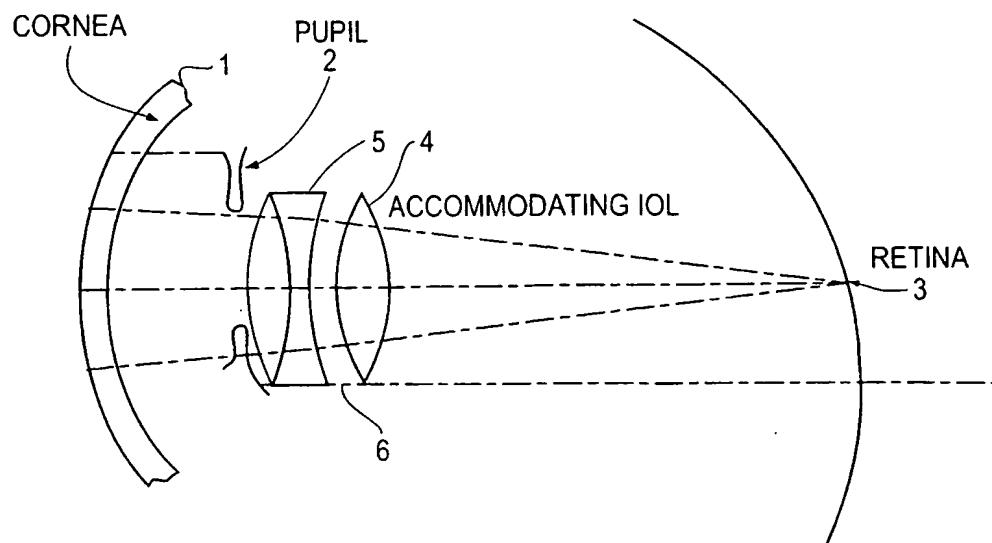
20

33. The method as claimed in any one of claims 23-32 comprising securing the intra ocular lens within the eye's ciliary sulcus or lens capsule and wherein said hinge mechanism are semi-rigid or rigid tabs and/or strut-like linking arms which are connected by flexure joints at one or more locations along the edges

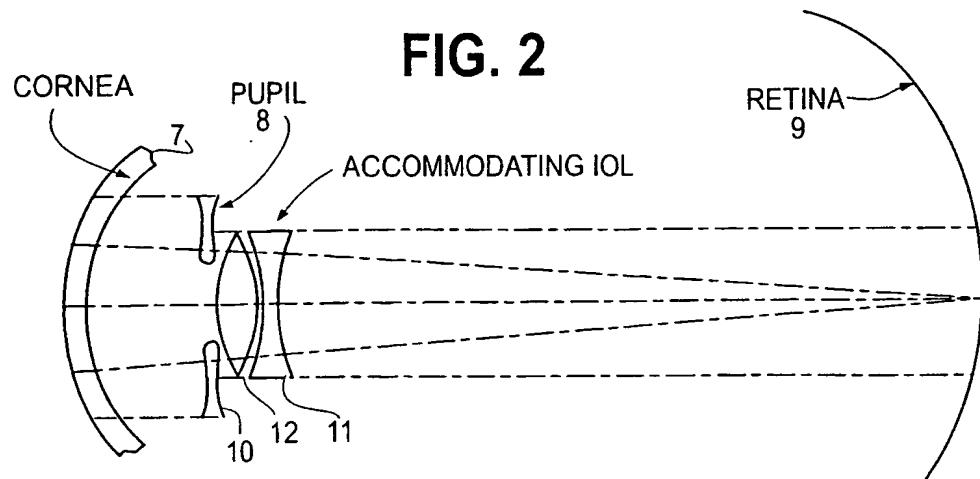
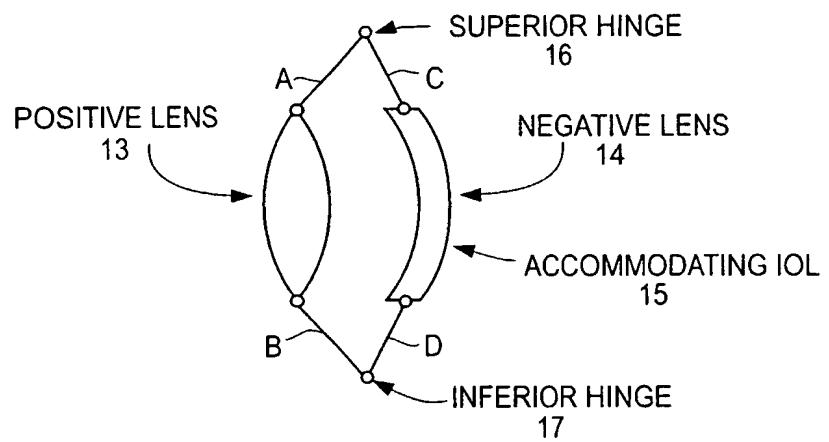
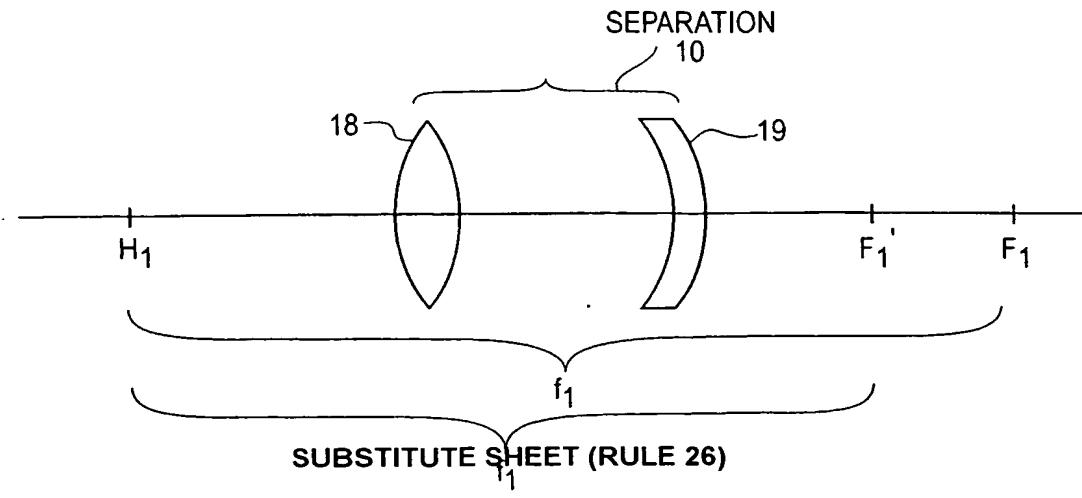
of the positive and negative lenses in order to and to control the movements of the lenses.

34. The method of claim 33 comprising joining the linking arms on said positive lens and said negative lens to one another by flexure joints at appropriate locations along the arms to control the movements of the two lenses during accommodation.

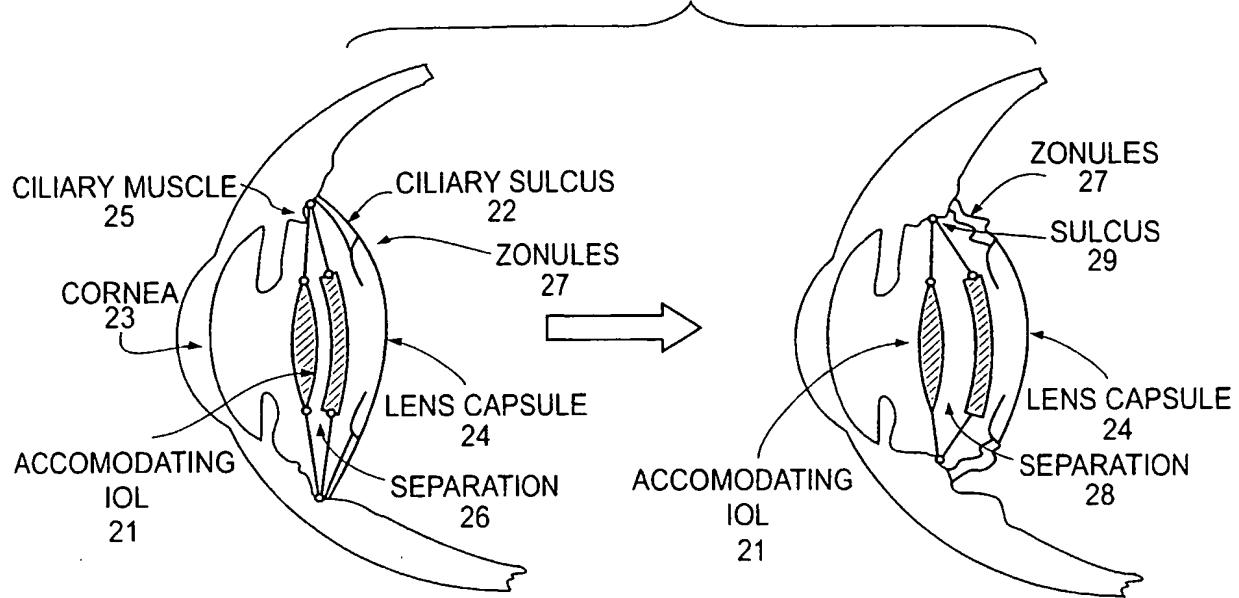
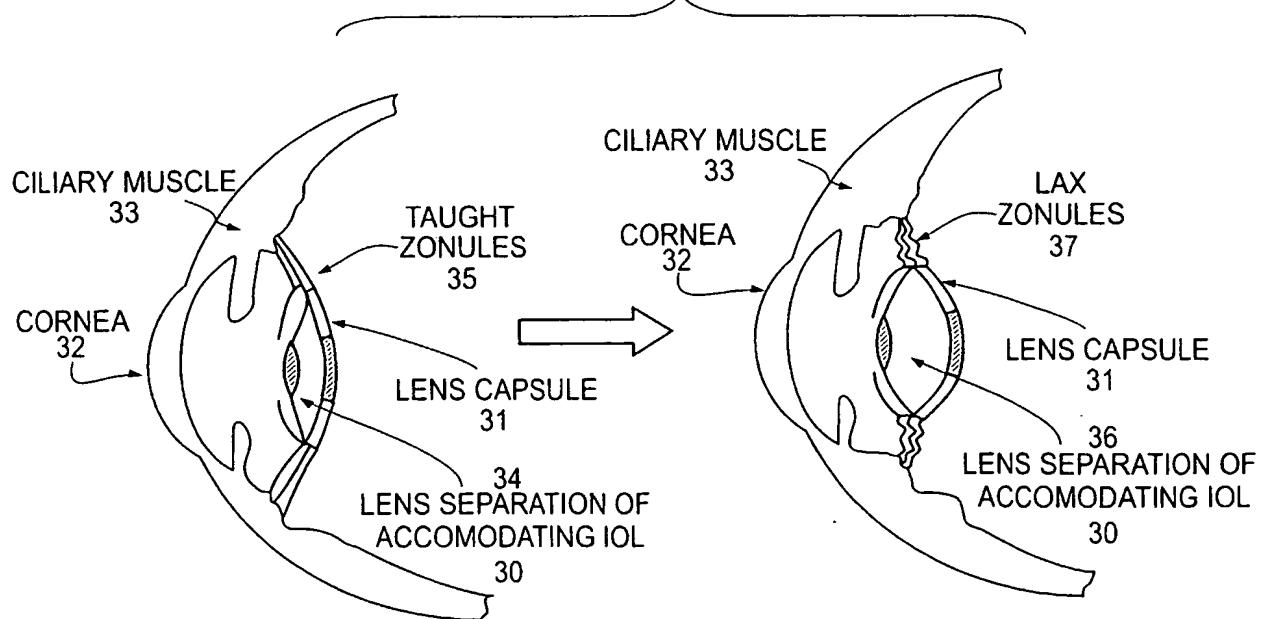
1/5

FIG. 1**FIG. 1A****SUBSTITUTE SHEET (RULE 26)**

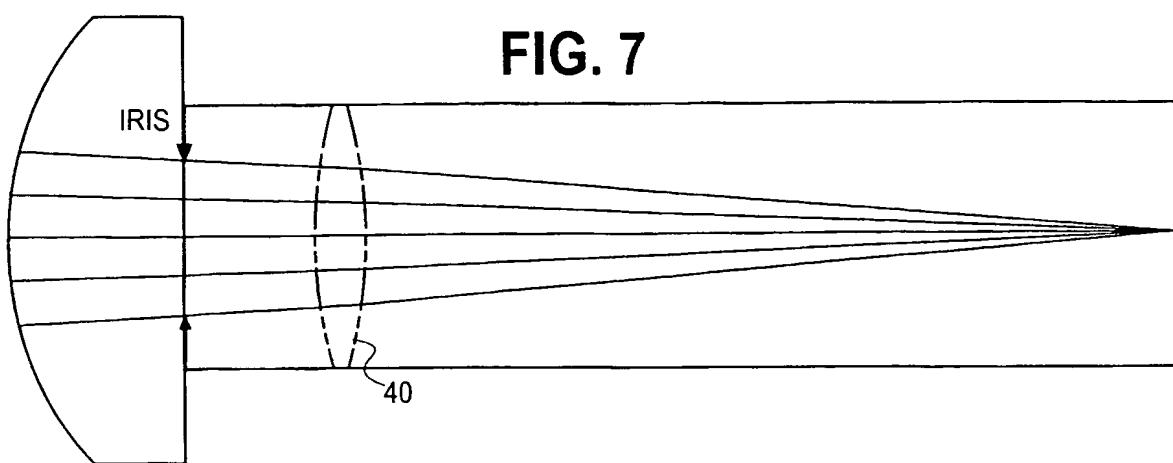
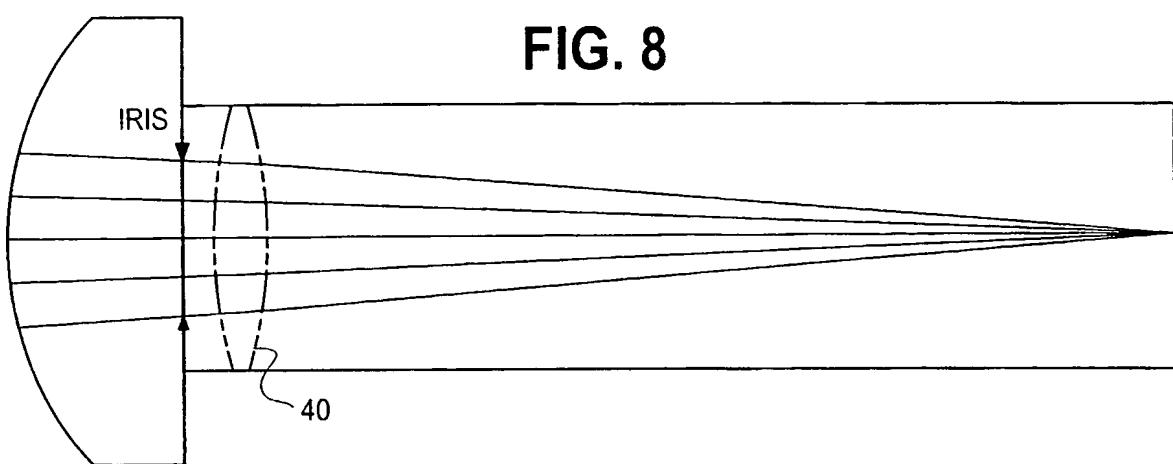
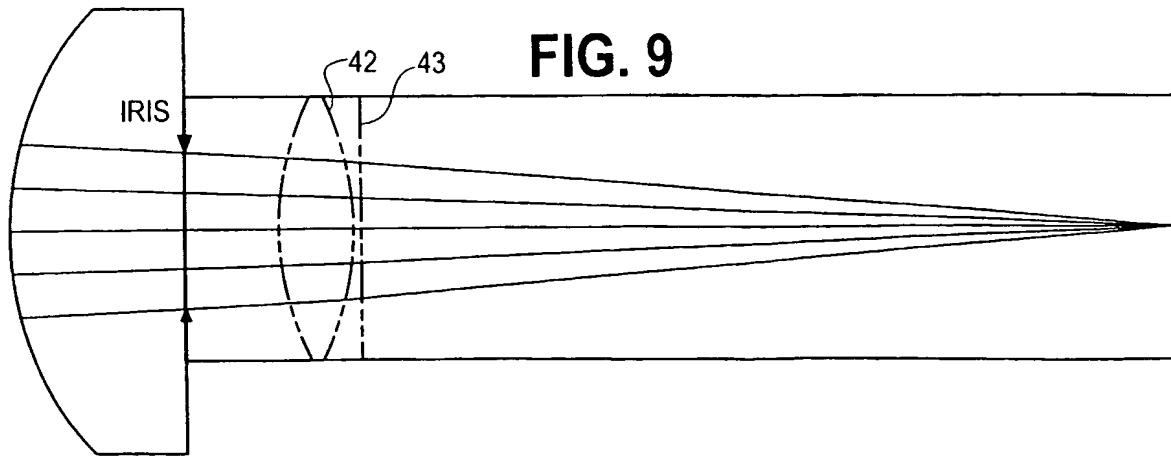
2/5

**FIG. 3****FIG. 4**

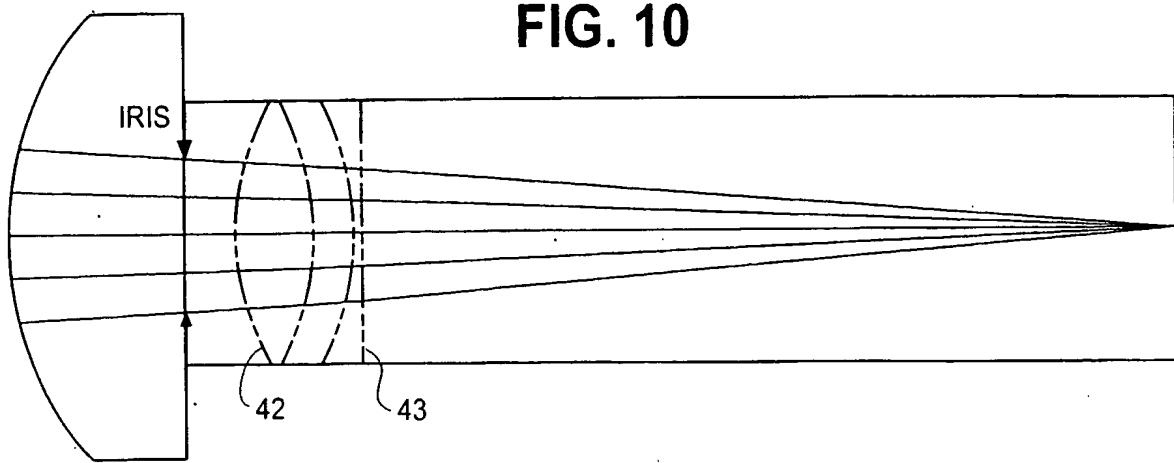
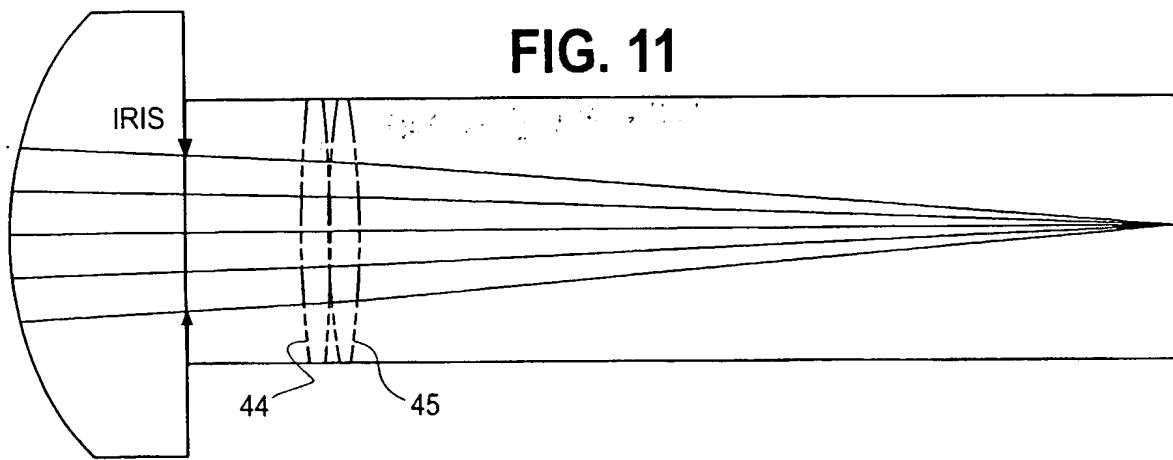
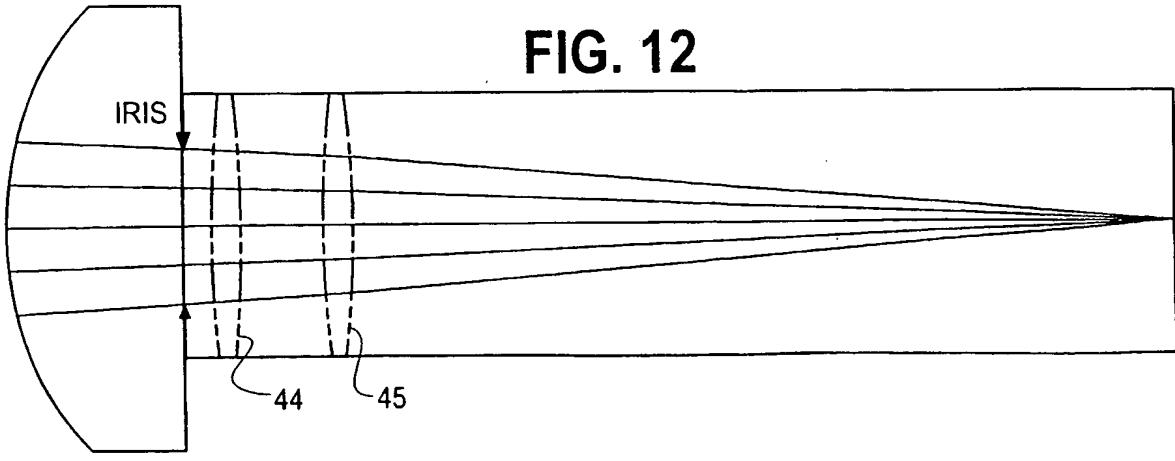
3/5

FIG. 5**FIG. 6****SUBSTITUTE SHEET (RULE 26)**

4/5

FIG. 7**FIG. 8****FIG. 9****SUBSTITUTE SHEET (RULE 26)**

5/5

FIG. 10**FIG. 11****FIG. 12****SUBSTITUTE SHEET (RULE 26)**

THIS PAGE BLANK (USPTO)

(19) World Intellectual Property Organization
International Bureau



(43) International Publication Date
3 January 2003 (03.01.2003)

PCT

(10) International Publication Number
WO 03/000154 A3

(51) International Patent Classification⁷: **A61F 2/16**

(21) International Application Number: **PCT/US02/19534**

(22) International Filing Date: 21 June 2002 (21.06.2002)

(25) Filing Language: English

(26) Publication Language: English

(30) Priority Data:
60/299,757 22 June 2001 (22.06.2001) US

(71) Applicants and

(72) Inventors: **MILLER, David** [US/US]; 9 Francis Street, Brookline, MA 02446 (US). **BLANCO, Ernesto** [US/US]; 36 Sandrick Road, Belmont, MA 02178 (US). **MAGNANTE, Peter** [US/US]; 218 Wigwam Road, West Brookfield, MA 01585 (US).

(74) Agent: **CONTE, Robert, F., I.**; Lee, Mann, Smith, McWilliams, Sweeney & Ohlson, P.O. Box 2786, Chicago, IL 60638 (US).

(81) Designated States (*national*): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, OM, PH, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VN, YU, ZA, ZM, ZW.

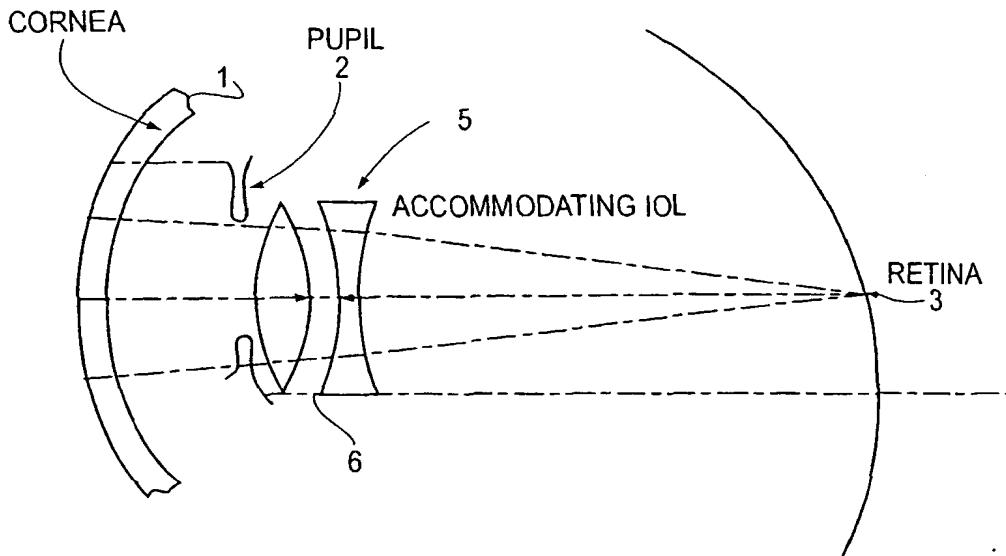
(84) Designated States (*regional*): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

Declaration under Rule 4.17:
— *of inventorship (Rule 4.17(iv)) for US only*

Published:
— *with international search report*

[Continued on next page]

(54) Title: AN IMPROVED ACCOMMODATING INTRAOCULAR LENS



WO 03/000154 A3

(57) **Abstract:** An intraocular lens arrangement having positive (4) and negative (5) lens elements which move during the eye's accommodation response in order to improve the image on the retina (3) of objects viewed by the eye over a wide range of distances. The positive and negative lens elements either can be linked mechanically to constrain their relative movements or not be linked. The lenses are positioned by an operating surgeon following cataract extraction in either the eye's ciliary sulcus or lens capsule. Alternatively, one of the lenses may be inserted into an eye that already has a lens implanted therein to further improve a person's vision.



(88) Date of publication of the international search report:

22 May 2003

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

INTERNATIONAL SEARCH REPORT

International application No.

PCT/US02/19534

A. CLASSIFICATION OF SUBJECT MATTER

IPC(7) : A61F 2/16

US CL : 623/6.37

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

U.S. : 623/6.37,6.32,6.34,6.36,6.22

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 5,391,202 A (LIPSHITZ et al) 21 February 1995 (21.02.1995), See Cols. 1-3 and figures.	1,3,4,14
---		-----
Y	US 4,994,082 A 19 February 1991 (19.02.1991), see col. 5,11,12 and Fig 3-4.	2,15-17,23-25
Y	US 4,994,082 A 19 February 1991 (19.02.1991), see col. 5,11,12 and Fig 3-4.	1-4,14-17,23-25
X	US 4,816,031 A (Pfaff) 28 March 1989 (28.03.1989), See entire document.	1-4, 14
A	US 4,435,856 A (L'Esperance) 13 March 1984 (13.03.1984), see entire document.	1-4,14-17,23-25

Further documents are listed in the continuation of Box C.

See patent family annex.

"	Special categories of cited documents:	"T"	later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
"A"	document defining the general state of the art which is not considered to be of particular relevance	"X"	document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
"E"	earlier application or patent published on or after the international filing date	"Y"	document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
"L"	document which may throw doubt on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)	"&"	document member of the same patent family
"O"	document referring to an oral disclosure, use, exhibition or other means		
"P"	document published prior to the international filing date but later than the priority date claimed		

Date of the actual completion of the international search

31 August 2002 (31.08.2002)

Date of mailing of the international search report

28 FEB 2003

Name and mailing address of the ISA/US

Commissioner of Patents and Trademarks
Box PCT
Washington, D.C. 20231

Facsimile No. (703)305-3230

Authorized officer

Corrine M. McDermott

Telephone No. (703) 308-0858

INTERNATIONAL SEARCH REPORT

International application No.

PCT/US02/19534

Box I Observations where certain claims were found unsearchable (Continuation of Item 1 of first sheet)

This international report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. Claim Nos.:
because they relate to subject matter not required to be searched by this Authority, namely:

2. Claim Nos.:
because they relate to parts of the international application that do not comply with the prescribed requirements to such an extent that no meaningful international search can be carried out, specifically:

3. Claim Nos.: 5-13,18-22,26-34
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

Box II Observations where unity of invention is lacking (Continuation of Item 2 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:

1. As all required additional search fees were timely paid by the applicant, this international search report covers all searchable claims.
2. As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3. As only some of the required additional search fees were timely paid by the applicant, this international search report covers only those claims for which fees were paid, specifically claims Nos.:

4. No required additional search fees were timely paid by the applicant. Consequently, this international search report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

Remark on Protest

The additional search fees were accompanied by the applicant's protest.
No protest accompanied the payment of additional search fees.